


# CERTIFICATE OF MAILING

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Name (Print/Type) Steven F. Goldstein Signature [Signature] Date 12-03-2001

<div style="text-align: center;">  <p><b>TRANSMITTAL</b></p> <p><input checked="" type="checkbox"/> Small Entity      <input type="checkbox"/> Large Entity</p> </div>	<p><i>COPY OF PAPERS ORIGINALLY FILED</i></p>	
	Application Number	09/863,841
	Confirmation Number	8970
	Filing Date	May 22, 2001
	First Named Inventor	Karla Kirkegaard et al.
	Examiner	Unassigned
	Group Art	1645
Attorney Docket No.	STAN193	

ENCLOSED:	Claims	No. of claims as filed or after amendment	Most claims previously paid for	# Extra Claims	Rate	Totals
<input type="checkbox"/> Amendment Under Rule	Total		20	4	9	\$ 36.00
<input type="checkbox"/> 37 CFR §	Independent		3			\$ -
<input type="checkbox"/> Pages	Multiple					
Total Extra Claim Fees						\$ 36.00

☒ Applicants Petition for an Extension of time from 10-02-2001 to 12-02-2001 Fee \$200.00

*A \_ month extension was previously filed and paid for thereby reducing the basic fee*

☒ Response to File Missing Parts (with copy of formalities letter)

<input checked="" type="checkbox"/> Filing Fee	Fee	<u>\$370.00</u>
<input checked="" type="checkbox"/> Executed Declaration      Pages <u>6</u>	Surcharge Fee	<u>\$65.00</u>
<input checked="" type="checkbox"/> Other <u>12 Sheets of Formal Drawings</u>	Fee	
	Fee	
	Fee	
	Fee	
Subtotal		<u>\$435.00</u>

☐ Information Disclosure Statement

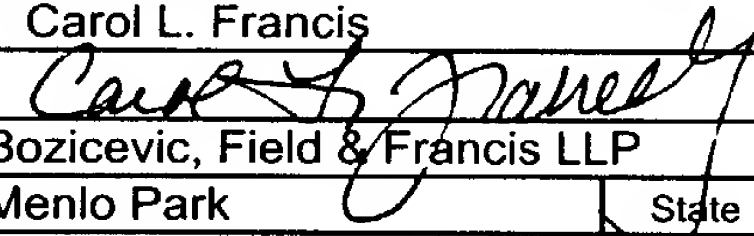
<input type="checkbox"/> PTO Form 1449      Pages _____	Fee	
<input type="checkbox"/> _____ Copies of Cited References	Fee	
<input type="checkbox"/> Other _____	Fee	
Subtotal		<u>\$ -</u>

☐ Response to Notice to Comply (with copy of Notice to Comply)

<input type="checkbox"/> Sequence Listing Certification	Fee	
<input type="checkbox"/> Paper Copy of Sequence Listing      Pages _____	Fee	
<input type="checkbox"/> Diskette in computer-readable format	Fee	
<input type="checkbox"/> Other _____	Fee	

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04 FC:216      200.00 CH

<input type="checkbox"/> Terminal Disclaimer		Fee	
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group			
<input type="checkbox"/> Notice of Appeal	Pages	_____	Fee _____
<input type="checkbox"/> Appeal Brief in Triplicate	Pages	_____	Fee _____
<input type="checkbox"/> Reply Brief	Pages	_____	Fee \$ -
			Subtotal \$ -
<input type="checkbox"/> Other Enclosures and/or Fees _____		Fee _____	
<input type="checkbox"/> Change of Correspondence Address			
<input checked="" type="checkbox"/> Return Receipt Postcard		<b>TOTAL FEES</b>	<b>\$671.00</b>
The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.			
<b>SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED</b>			
Name (Print/Type)	Carol L. Francis		Registration No. 36,513
Signature			Date 12-03-2001
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City	Menlo Park	State	California
		zip	94025
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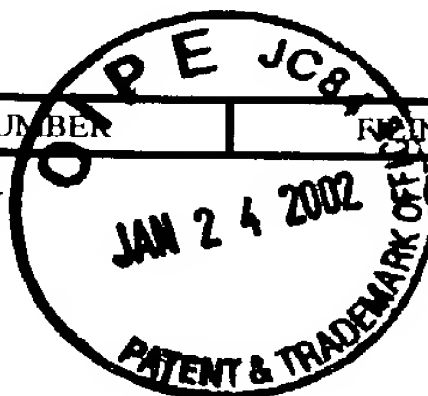
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,841	05/22/2001	Karla Kirkegaard	STAN193



Carol L. Francis  
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Suite 200  
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Menlo Park, CA 94025

CONFIRMATION NO. 8970

## FORMALITIES LETTER



\*OC000000006373769\*

Date Mailed: 08/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$36.
  - \$36 for 4 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 456.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

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01 FC:201	370.00 CH	■ drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
02 FC:203	36.00 CH	
03 FC:205	65.00 CH	

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May

15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)**

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*A copy of this notice **MUST** be returned with the reply.*




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